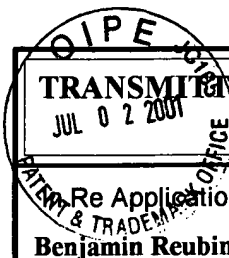


4-A 0300 0400 #3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14418	
In Re Application Of: Benjamin Reubinoff et al.				
Serial No. 09/808,382	Filing Date March 14, 2001	Examiner Unassigned	Group Art Unit Unassigned	
Title: EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:				
1. a Final Action under 37 CFR 1.113, or				
2. a Notice of Allowance under 37 CFR 1.311,				
whichever occurs first.				
Also submitted herewith is:				
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).				



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14418

Re Application Of:
Benjamin Reubinoff et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/808,382	March 14, 2001	Unassigned	Unassigned

Title: **EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on

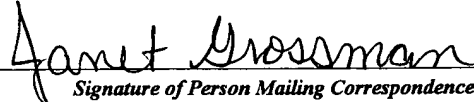
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

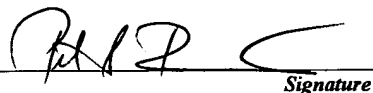
I certify that this document and fee is being deposited on **June 29, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.


Signature

Dated: **June 29, 2001**

Peter I. Bernstein
Registration No. 43,497
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CC:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Benjamin Reubinoff et al.	Examiner:	Unassigned
Serial No.:	09/808,382	Art Unit:	Unassigned
Filed:	March 14, 2001	Docket:	14418
For:	EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM	Dated:	June 29, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent 6,200,806, dated March 13, 2001.
2. International Application WO 00/27995, published May 18, 2000.
3. International Application WO 00/68359, published November 16, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 29, 2000.

Dated: June 29, 2000


Janet Grossman

4. Reubinoff BE et al. "Embryonic stem cell lines from human blastocysts: somatic differentiation in vitro" Nature Biotech. Vol. 18, April 2000, pp. 399-404.
5. Thomas JA et al. "Embryonic stem cell lines derived from human blastocysts" Science Vol. 282, November 1998, pp.1145-1147.
6. Brustle O et al. "Embryonic stem cell-derived glial precursors: A source of myelinating transplants" Science Vol. 285, July 30, 1999, pp.754-756.
7. Shambloott M et al. "Derivation of pluipotent stem cells from cultured human primordial germ cells" The National Academy of Science, Vol. 95, November 1998, pp. 13726-13731.
8. Svendsen C et al." New prospects for human stem cell therapy in the nervous system" Trends in Neuroscience, Vol.22, No. 8, 1999, pp.357-364.
9. Thomson J et al. "Neural differentiation of rhesus embryonic stem cells" Acta Pathologica, Microbiologica, et Immunologica Scandinavica, Vol. 106, 1998, pp.149-157.
10. Lake J et al. "Reversible programming of pluripotent differentiation" Journal of Cell Science, Vol. 113, February 2000, pp. 555-566.
11. Thomson J.A. et al. "Primate embryonic stem cells" Current Topics in Development Biology, Vol. 38, 1998, pp. 133-165.

The references were cited in a Search Report received from the Australian Patent Office, dated May 10, 2001. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted,



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